Pre-Analytical Error In Clinical Laboratory & It's Corrective Measures

Dr Piyush B. Tailor Professor & Head Department of Biochemistry Government Medical College , Bhavnagar



Most critical Phase

Chances of Error

In

Pre-Analytical Error = Approx. 50 - 70 % Analytic error = 10 - 15 % Post Analytical Error = 20 - 30 %

Most Common Area

- Missing sample and/or Test request
- Wrong or Missing identification
- Contamination from infusion route
- *Haemolysed, clotted, and insufficient samples
- Inappropriate containers
- Inappropriate blood to anticoagulant ratio
- Inappropriate transport and storage conditions.

Most Common Area

- **O**Error in Patient Preparation
 - \checkmark Fasting is require
 - GGT
 - Lipid Profile
 - ✓ With Specific Cardiac Markers Specific Peak Hours
 - \checkmark Effect of Medicine
 - Not take Oral Hypo-Glycemic medicine before FBS,PP2BS

OSample Registration - LIS

✓ Wrong Entry - Manual Registration - Transcription Error
✓ Entry Without UHID / MRD
✓ Out of Scope test is accepted
✓ LIS failure
✓ No or Wrong Barcode

Sample Request - Missing / Un-identifiable

✓ Mismatch UHID

✓ Un-identifiable Parameter - Full Lipid Profile

 \checkmark No / Inadequate patient's clinical

• Error in Sample Collection

Vwrong Collection Site

- ABG collection site Venous sample
- FNAC from Wrong site
- Collection from Infusion Site -
 - Total Parental Nutrition RL infusion

Wrong Collection Time

- FSH / LH / GGT / Lipid Profile

• Error in Sample Collection

Vrong Vacuette

- \checkmark Routine Biochemistry in EDTA
 - Dis-arrange Electrolyte High Potassium , Low Calcium

Vwrong Preservative Ratio

- ABG Clotted Sample
- APTT/PT, ABG Wrong interpretation
- Faulty Vacuum in Vacuette Inadequate Sample Preservative Ration

OError in Sample Collection

Vrong Method of Collection

- Spirit wet area Haemolysed Sample
- Small Needle High Potassium , Enzymes, Low Cell Count
- Forceful Aspiration & Emptying
- Tight tourniquet Collection with Unreleased tourniquet

O Sample Transportation

✓ Haemolysis due to High temperature & Shaking
 ✓ Long Distance - Increase TAT
 ✓ Slide / Vacuttee - Not Secure
 ✓ Cross contamination

Sample Centrifugation - Accession

Wrong / High Speed - Haemolysed - Leakage -Contamination

✓ Imbalance

Sample Shorting

- ✓ No Secondary Sample Identification
- ✓ Same tips Carry Over of the sample during Separation
- \checkmark Re utilisation of Aliquot
 - Contamination with Glycerol HOCL
 - High Glucose, Uric acid, Cholesterol (POD Reaction)

Environmental Condition

- ✓ Temperature of Collection area
- ✓ Improper Light
 - Visibility of vein decrease
- ✓ Privacy
 - Patient does not reveal the history
- \checkmark No availability of Comfortable Chair
 - Syncope Incomplete collection

Quality Indicator of Pre-Analytical Area

- 1. Number of requests with clinical question (%)
- 2. Number of **appropriate tests** with respect to the clinical question (%)
- 3. Number of requests without physician's identification (%)
- 4. Number of **unintelligible requests** (%)
- 5. Number of requests with erroneous **patient identification** (%)
- 6. Number of requests with erroneous identification of physician (%)
- 7. Number of requests with errors concerning **test input** (%)

Quality Indicator of Pre-Analytical Area

- 8. Number of **samples lost**/not received (%)
- 9. Number of samples collected in inappropriate containers (%)
- 10.Number of samples haemolysed (haematology, chemistry) (%)
- 11. Number of samples clotted (haematology, chemistry) (%)
- 12.Number of samples with **insufficient volumes** (%)
- 13.Number of samples with inadequate sample-anticoagulant ratio (%)
- 14.Number of samples **damaged in transport** (%)
- 15.Number of **improperly labelled** samples (%)
- 16.Number of **improperly stored** samples (%)

Implementation of Quality Indicator

- Prioritised Quality Indicator
- Define Bench Mark of Quality Indicator
- ➡Data Collection
- ➡ Finding Outliers
- Root Cause Analysis
- Corrective Action Preventive Action Risk Managment
- Continual Improvement Updation of Bench Marks

Root Cause Analysis



Ishikawa Technic

5 Why Technic of Root Cause Analysis

Error in Pre-Analytical Area

More than 10% Sample Haemolysed (Violation of Bench Mark) in October 2023

5 Why Technic	Scenario - 1
1st Why	New transport bag was introduced and it unable to maintain temperature
2nd Why	Laboratory management has not taken working demo before purchase
3rd Why	Vendor for transport of bag was well known, old and faithful.
4th Why	Vendor evaluation was not don e with proper benchmark and it was just done on paper for NABL assessment.
5th Why	NABL accreditation process was implemented in laboratory from consultant - (Root Cause)
Corrective Action	Management asked Vendor to correct transport bag immediately for temperature maintaining facility.
Preventive Action	Management asked his most competent and sincere laboratory person for " 4 Days Internal Auditor Training as Per ISO 15189:2022 & set priority for appointing employee who has competency related ISO15189

5 Why Technic of Root Cause Analysis

Error in Pre-Analytical Area

More than 10% Sample Haemolysed (Violation of Bench Mark) in October 2023

5 Why Technic	Scenario - 2
1st Why	Most of sample collection is done by untrained staff.
2nd Why	Most of the sample are received from referring hospital and their nursing staff are not trained for sample collection and transportation.
3rd Why	Laboratory management has focused on training of their own phlebotomist . (Root Cause)
4th Why	
5th Why	
Corrective Action	Laboratory management asked their trained phlebotomist to remain at hospital at peak hours of the hospital .
Preventive Action	Laboratory management asked hospital management to give chance /slot to train their nursing staff for sample collection during any of their own training session.

5 Why Technic of Root Cause Analysis

Error in Pre-Analytical Area

More than 10% Sample Haemolysed (Violation of Bench Mark) in October 2023

5 Why Technic	Scenario - 3
1st Why	In Civil Hospital, Collected sample are brought by ward-boy without cold chain
2nd Why	Cold chain box are available but nursing staff does not using it.
3rd Why	Previously purchased cold chain box are stolen.
4th Why	Nursing incharge was not maintaining log-book for " Cold Chain Box Log "
5th Why	Nursing staff is not sensitised to maintain sample transportation log as well as sample transport bag log. (Root Cause)
Corrective Action	Laboratory director has taken charge and responsibility of all their "Cold Chain Box" and Started using it with maintaining it's traceability and log.
Preventive Action	LD asked hospital admin to arrange sensitisation training about related Good Laboratory Practice for Nursing Staff. & asked Medical Superitendent to purchased GPS sensory for "Cold Chain Box", to track transport box, TAT and to evaluate activity of Ward-Boy.





Hello. I make comics about work. Every Mon & Fri. Hit the follow button to get the comics in your feed. Work Chronicles workchronicles.com

Risk Management - Most Important As Per ISO 15189:2022

- **Patient Preparation** = History Taking and Remarks in Request
- **Sample Request** = Request in Software , Verified & Valid LIS HIMS
- **Sample Collection** = Good Vacutainer , Repeated Training of Phlebotomist
- **Sample Transport** = Reduce Transportation Time, POCT, Cold Chain
- **Sample Registration** = LIS Validation Verification
- **Sample Centrifugation** = Training SOP Calibration
- **Sample Shorting** = Automation Barcode Use of Primary Sample
- Environment = Light , Temperature , Humidity, Privacy , Reclining Chair



My Special Thanks

Organising Committee

Valsad District Pathologists Association



Dr. R K Desai (Patron)



Dr. Mehul Solanky (Organising Chairman)



Dr. Anish Diwanji (Organising Secretary)



Dr. Varsha patel (Treasurer)